

#7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT DIVISION

In re PATENT APPLICATION of: USAMA FAYYAD ET AL.

Serial No.: 09/700,606 Examiner: not yet assigned

Filed: 11/15/00 Art Unit: not yet assigned

Docket: 14-694 - 4254

Title: A SCALABLE SYSTEM FOR CLUSTERING OF LARGE
DATABASES MIXED DATA ATTRIBUTES

Watts, Hoffmann, Fisher
& Heinke Co., L.P.A.
1100 Superior Ave., Ste. 1750
Cleveland, Ohio 44114

Commissioner for Patents and Trademarks
Attn: Finance Dept., Refund Section
P.O. Box 16
Washington, D.C. 20231

U.S. Patent and Trademark Office
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REQUEST FOR REFUND

4/2/01
Maren Burdick

Dear Sir:

On the February 2001 deposit account statement for account number 23-0630, we noted numerous charges and credits for this application.

This application is based on a previously filed PCT application. When the national phase U.S. application was filed, a check was sent to the U.S. Patent and Trademark Office that was received on November 15, 2000 in the amount of \$1,002 for the application filing fee. A copy of the check and return postcard is enclosed. This application was filed without a signed declaration. On January 31, 2001 the U.S. Patent and Trademark Office received two checks in the amounts of \$110 and \$130 for filing a Petition for a one month extension of time and for filing the missing

Declaration and Power of Attorney from the original filing.

Adjustment 02/15/2001 00000010 230630 09700606
01 FC:154 130.00 CR

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The February 2001 deposit account statement contained two credits, one for \$1046 and one for \$110. Applicant's attorney does not believe that they are entitled to these credits since the filing fee and extension fee was submitted to the PTO unless our deposit account was erroneously charged for these amounts in a previous statement. Also, applicant's attorney respectfully requests an explanation of the inconsistency in the filing fee amount which was sent in to the PTO (\$1002) and the amount credited to our deposit account (\$1046).

The February 2001 deposit account statement also had one charge for \$130 with the fee code number of 154 which stands for a charge for submitting an Oath or Declaration after the twenty or thirty months from priority date. This second charge should be refunded to deposit account number 23-0630 since a \$130 check was submitted and received by the PTO on January 31, 2001.

Respectfully submitted,

Date March 28, 2001.

Stephen Schultz
Stephen J. Schultz
Reg. No. 29,108

Phone: (216)623-0775

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.
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FACSIMILE TRANSMITTAL

To:	Ms. Rita White
Organization:	U.S. PTO - Finance Dept.
Facsimile No.:	703-305-3230
From:	Stephen J. Schultz, Esq.
Date:	January 4, 2002
Our File No.:	14-694 - 4254 - Serial No. 09/700,606
No. of pages: (including this cover pg.)	9

MESSAGE:

Please advise me of the status of the Request for Refund which was received by the U.S. PTO on April 5, 2001. Copies of all submitted documents are enclosed for your convenience.

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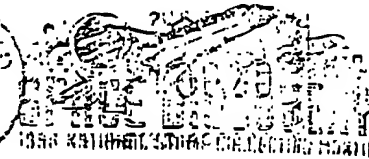
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1114+2344



PATENT APPLICATION

Attorney Docket No. 14-694

Client: 9254

Applicant(s): Douglas et al.Title: Large Pipe SystemSerial No. 091700401Filed: 11/15/00

Patent No.:

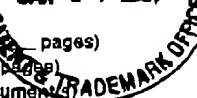
Mailing Date: 1/23/01Initials: KB

Receipt is hereby acknowledged of the following:

- ☐ Transmittal (___ pages)
☐ Provisional Application for Patent Cover Sheet
☐ Request for Filing a Patent Application under 37 CFR 1.60 (___ pages)
☐ Request for Filing a Patent Application under 37 CFR 1.62 (___ pages)
☐ Patent Application (___ total pages)
 ☐ Provisional, ☐ New Nonprovisional,
 ☐ Continuation, ☐ Divisional, ☐ CIP,
 ☐ PCT, ☐ _____
 ___ cover page
 ___ pages of specification
 ___ pages of ___ number of claims
 ___ pages of abstract
☐ Drawings (___ sheets)
☒ Declaration(s) with Power of Attorney (___ pages)
☒ Check(s) in Amount of \$ 110-
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- ☐ Assignment(s) (___ pages)
☐ Assignment Cover Sheet(s) (___ pages)
☐ Small Entity Statement (___ pages)
☐ Number of Priority Documents
☒ Response to Notice to File Missing Parts (___ pages)
☒ Copy of Notice to File Missing Parts Form PTO-1533
☐ Information Disclosure Statement (___ pages)
 ☐ PTO Form 1449 (___ pages)
 ☐ Number of Documents
☒ Petition for a 1 Month Extension of Time (___ pages)
☐ Preliminary Amendment (___ pages)
☐ Amendment (___ pages)
☐ Notice of Appeal (___ pages)
☐ Appeal Brief in Triplicate (___ pages each)
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& HEINKE CO., L.P.A.
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04



PATENT APPLICATION

Applicant(s): Watts, Hoffmann, Fisher & Heinke
 Title: Variable System in Architecture
 Serial No.: 14-654
 Mailing Date: 11/15/00, Filed: 11/15/00, Initials: SJS/KB, Patent No.: 700606

Receipt is hereby acknowledged of the following:

☒ Transmittal (2 pages)
☐ Provisional Application for Patent Cover Sheet
☐ Request for Filing a Patent Application under 37 CFR 1.60 (pages)
☐ Request for Filing a Patent Application under 37 CFR 1.62 (pages)
☒ Patent Application (40 total pages)
 ☐ Provisional, ☒ New Nonprovisional,
 ☐ Continuation, ☐ Divisional, ☐ CIP,
 ☐ PCT, ☐
 33 cover page
 33 pages of specification
 2 pages of 39 number of claims
 1 pages of abstract
☒ Drawings (2 sheets)
☒ Declaration(s) with Power of Attorney (2 pages) unrelated
☒ Check(s) in Amount of \$ 1,000

☐ Assignment(s) (pages)
☐ Assignment Cover Sheet(s) (pages)
☐ Small Entity Statement (pages)
☐ Number of Priority Document(s)
☐ Response to Notice to File Missing Parts (pages)
☐ Copy of Notice to File Missing Parts Form PTO-1533
☐ Information Disclosure Statement (pages)
 ☐ PTO Form 1449 (pages)
 ☐ Number of Documents
☐ Petition for a Month Extension of Time
☐ Preliminary Amendment (pages)
☐ Amendment (pages)
☐ Notice of Appeal (pages)
☐ Appeal Brief in Triplicate (pages each)
☐ Issue Fee Transmittal PTOL-85B & Copy
☐ Maintenance Fee Transmittal (pages)

414 Rec'd PCT/PTO 15 NOV 2000

09/700606

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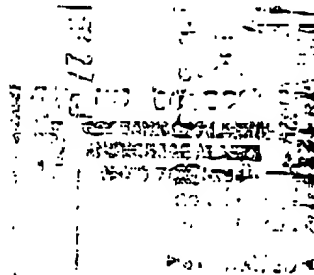
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